

Inherited ISA allowance form

To open an ISA using the allowance of your late spouse/civil partner

How to fill in this form:

- Please use black ink and write clearly inside the boxes provided using capital letters.
- Mark your answers with a cross in the appropriate box like this:
- **We may need to contact you if you don't complete all details correctly which may cause delays to your application.**
- If you have any queries about this form please visit fidelity.co.uk/bereavement or speak to your adviser, or call our Customer Services Team on **0800 41 41 16**.
- This form can be used to open an ISA using the allowance of your late spouse/civil partner using holding in both the ISA and LISA Accounts.
- If you are transferring the additional permitted subscription from another provider, you will need to complete the Inherited ISA allowance - transfer from current ISA manager form. You can then forward a cheque and this application form as soon as you receive confirmation that our systems have been updated with the value from the other provider.

What's next?

Please send your completed application form to:

Fidelity
PO Box 391
Tadworth KT20 9FU

1 About your late spouse/civil partner

Please complete ALL information requested in the following section as this is required by HMRC.

Please provide the date of the marriage or civil partnership (DDMMYYYY).

Their title

 Mr Mrs Ms Other:

Their surname

Their first name

Their middle name/s

Their date of birth (DDMMYYYY)

Their date of death (DDMMYYYY)

Their National Insurance Number

(this can be found on a payslip or a letter from HMRC)

No National Insurance Number?

If they were never issued with a National Insurance Number please mark an X in the box.

Their Fidelity Customer Reference Number (This can be found on the investment valuation letter. It is 10 digits long, starting 100. Please note that this is different from an account number)

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Docs

2 About you (continued)

Email address

To help us protect you from fraud we need to check your identity (this is also part of our anti-money laundering obligations). We can usually do this using an electronic verification system with the information provided. This will create a record on your credit report, which will only be visible to you and will not affect your credit score. If we are unable to do this we may need to ask for additional identity verification documents.

Date of birth (DDMMYYYY)

Town of birth

Country of birth

National Insurance Number

(this can be found on a payslip or a letter from HMRC)

No National Insurance Number?

If you have never been issued with a National Insurance Number please mark an X in the box.

Are you a UK National only? (Please mark an X in the box)

Are you a UK National and National of one or more other countries? (tick box and list all other countries below)

Are you a National of Non-UK countries only? (tick box and list all other countries below)

Nationality 1

Nationality 2

Nationality 3

Nationality 4

Driving Licence number (If applicable - 18 characters as shown on your photocard)

Employment Status

Employed Self-Employed Full-Time education Unemployed Pensioner

Source of this investment

Savings from income Inheritance Income from salary

Divorce Settlement Gift

Sale of Property Sale of Investments/transfer

Other (Please specify)

3 Your contributions

Please indicate below how you are planning to use the ISA inheritance allowance?

- I wish to use the money from my spouse's/civil partner's ISA/LISA account. Please now read through section 4 and then move onto section 6.
- I wish to invest my own money and have enclosed a cheque for the amount below. I understand it is only valid for an investment into the Inheritance ISA allowance and will now complete all sections except sections 4 and 7.

Please provide the total cheque amount:

£ .

Cheque payments

Important: We only accept payment from the account holder. Cheques should be issued from your personal or joint bank account. Cheque must be payable to Fidelity and include the account holders name .e.g. 'Pay Fidelity - re John Smith'. If an application is accompanied by a bankers draft or building society cheque, the reverse of the draft/cheque must contain details of the original account debited; full name, account number and sort code. Cheque must be endorsed with the banks official stamp.

4 Transferring the investment of your late spouse/civil partner into your inherited ISA

- **Original or Certified copy of a Grant of Probate should be sent to us for registration to enable us to accept instructions signed by the executor.**
- **We will use the same investment choices as your late spouse/civil partner.**
- The additional inheritance allowance expires 3 years after the date of death or 180 days after administration of the estate is complete.
- This allowance can be invested in stocks and shares or cash, or any combination of the two.
- For an investor who died on or before 5 April 2018 the allowance is equal to the value of the ISA/LISA on the date of their death even if the value at closure is greater.
- Any monies that are in excess of the inherited ISA allowance will be held in your cash management account awaiting your instruction.
- For an investor who died on or after 6 April 2018 the allowance is either equal to the value of the ISA/LISA on the date of their death, or the value at the closure of the account depending on which is higher.
- All LISA and ISA investments held by your late spouse /civil partner will be transferred into your Inherited ISA.

5 Your investment choices using your own money in your ISA

Please provide your asset choices and the amounts you want to invest below. It's important to write the asset code and name clearly inside the boxes provided using capital letters - we use the code to determine any changes to your asset choice. You can find details of all investment options and asset codes at fidelity.co.uk/assets

Should your investment choice be unclear, this may cause a delay in processing the instruction.

Asset code	Asset name	% to be invested
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	Cash	<input type="text"/>
Total %		<input type="text"/> 1 <input type="text"/> 0 <input type="text"/> 0

When we open your new account any income paying assets will automatically reinvest. This helps cover fees and charges. More income options are available online. Please go to fidelity.co.uk for details.

6 Bank account details

This section must be completed and will be used for any future payment to you. I authorise Fidelity to make future payments by direct credit transfer to the bank/building society account detailed below. Please note that the name of the bank account should be in your name or a joint account that includes you as one of the holders. Third party bank account names are not accepted.

Account holder name

Account number

Sort code

Name and address of bank or building society

Name

Street, city, county and country

Postcode

Payment reference/roll number

/09.22/v11.0/

InheritSAP/IntP

7 Executor/Administrator declaration and signature(s)

In order to use your spouse's/civil partner's ISA/LISA account to fund your ISA Inheritance Allowance, please ensure the Executor(s) or Administrator(s) named on the Grant of Representation sign below.

- I/We the Executor(s)/Administrator(s) of the Estate understand that the information I/we provide on this application form will be processed in accordance with Fidelity's data protection statement contained in the Client Terms.
- I/We the Executor(s)/Administrator(s) of the Estate request that the late account holders ISA/LISA is transferred into the ISA inheritance allowance of the person named in section 1.

Signatures of all Executors/Administrators

Executor/Administrator 1



Print name

Date of birth (DDMMYYYY)

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Telephone number

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Executor/Administrator 3



Print name

Date of birth (DDMMYYYY)

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Telephone number

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Executor/Administrator 2



Print name

Date of birth (DDMMYYYY)

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Telephone number

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Executor/Administrator 4



Print name

Date of birth (DDMMYYYY)

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Telephone number

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All Executors/Administrators must sign

Corporate bodies should execute under their common seal, or otherwise as determined by their Memorandum and Articles of Association.

8 Declaration and signature

Your Fidelity ISA is managed by Financial Administration Services Limited, a FIL Group Company. I understand that the information I provide on this application form will be processed in accordance with Fidelity's data protection statement contained in the Fidelity Client Terms.

I declare that:

- By signing this form I confirm that I am not a US citizen, that I am not resident in the US, and that I do not have an obligation to pay tax to the US tax authorities on my worldwide income.
- I have read and saved or printed the latest version of:
 - The Key Features Document
 - Doing Business with Fidelity incorporating the Fidelity Client Terms or Doing Business with FundsNetwork.
 - the key information document
 - the illustration document
 - the Investment Trusts Key Features Document for investments in these products

Important Notice: If you have not received one or all of the documents listed above relating to the asset(s) you wish to invest in, please go to **fidelity.co.uk** or contact us on **0800 41 41 16** Monday to Friday 8am to 6pm and Saturday 9am to 6pm.

- I accept the Fidelity Client Terms relating to the inherited ISA allowance.
- I have not subscribed, and will not subscribe, more than the overall subscription limit.
- All subscriptions made, and to be made, belong to me and that I am 18 years of age or over.
- I am the surviving spouse / civil partner of the account holder identified in section 2 and was living with the deceased within the meaning of section 1011 of the Income Tax Act 2007 at the date of the deceased's death.
- The subscription is made under the provisions of regulation 5DDA of the ISA regulations.
- The subscription is being made by a payment of cash or transfer.
- That, in the permitted period, I have not made, with any other ISA manager, any subscription permitted by the regulation 5DDA in respect of the deceased spouse/civil partner.
- The subscription is being made in the period beginning with the date of the deceased's death and ending:
 - (i) no more than 3 years thereafter, or in the case of the deceased's death occurring in the period beginning with 3rd December 2014 and ending with 5 April 2015, no later than 5 April 2018; or
 - (ii) no more than 180 days after administration of the estate is complete.
- I authorise Financial Administration Services Limited:
 - to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
 - to make on my behalf any claims to relief from tax in respect of ISA investments.
- The information given by me is correct to the best of my knowledge, and I will inform Fidelity immediately of any changes to the information contained therein.
- I understand that to use my inherited ISA allowance, all investments will either be transferred by a stock transfer of units from the account into my inherited

ISA account or will be sold and then re-purchased. If all investments are sold and then re-purchased, I understand that this will usually take between 5 and 10 days but may take longer in certain circumstances. During this period your money will remain un-invested so you may incur a loss due to market movement. You may also incur taxes and other applicable dealing charges (such as bid-offer spread, broker dealing, Fund Manager's Sell Charges or Fund Manger's Buy Charges) on this transaction. Fidelity will not be held responsible or liable for any loss, takes or charges you incur during this process.

Signing on behalf of others

If you are an attorney signing on behalf of the applicant, you must attach:

- Original or Certified copy of a Grant of Probate should be sent to us for registration to enable us to accept instructions signed by the executor.
- Original or Certified copy of Power of Attorney.

Copies of the Power of Attorney must, on every page, be certified as true copies with:

- the words 'I certify this to be a true copy of the original', and
- the certifier's signature and printed name, date, official stamp or professional capacity.

Documents can be certified by a solicitor, legal executive, barrister or independent financial adviser.

Your signature

By signing here you confirm that you've read and completed all relevant sections as per the instructions on this form.

Signature



Print name

Date signed

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 (DDMMYYYY)

9 Intermediary details - to be completed by the intermediary

Company stamp

Unique Adviser Number

FCA number

I confirm that I am registered with the FCA to conduct business and my authorisation number is:

Remuneration details

An Adviser Ongoing Fee cannot be applied to this type of investment using an application form. If you would like to setup an Adviser Ongoing Fee this must be done online once the assets have been received.

Verification of identity

- I/We confirm and consent to Fidelity's reliance on the fact that I/we have verified the client in accordance with the UK Money Laundering Regulations and standards set in guidance issued by the JMLSG and will retain the supporting documentation for 5 years after the end of the relationship with the client.

This confirmation must carry an original signature or electronic equivalent.

I/We confirm that I/we have provided the client with the appropriate documentation for their investment:

- The Key Features Document - Doing Business with FundsNetwork.
- The key information document applicable to the client's investment.
- Personal Illustration.
- The FundsNetwork Client Terms.

Intermediary signature



Date signed

(DDMMYYYY)

10 Checklist before submission

Official sealed or certified Grant of Representation
- when transferring the investment of your late spouse/civil partner

Form completed and signed in full